

EMPLOYEE HANDBOOK

Northwestern Oklahoma State University

Alva • Enid • Woodward

Northwestern Oklahoma State University is accredited by the Higher Learning Commission and is a member of the North Central Association.

Updated July 2024

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Most all employee forms may be accessed through the Human Resources Office if not available on the university's website www.nwosu.edu.

PREFACE

The Employee Handbook has been prepared by the Human Resources Office as a reference for non-faculty employees. This Handbook is intended for informational purposes only and does not constitute an employment contract. It contains information about employment conditions, opportunities, policies, and procedures. It is to be used as a working guide throughout your employment at Northwestern Oklahoma State University.

It is the responsibility of each dean and supervisor, faculty or non-faculty, to enforce all provisions of these policies with respect to non-faculty employees under his or her supervision. This Handbook will assist supervisors in providing an efficient working environment for employees. It will also help to ensure uniformity of personnel policy interpretation and application throughout the University.

Northwestern Oklahoma State University reserves the right to change any of the provisions in this Handbook at any time. The policies in this Handbook may only be modified, altered, revoked, or amended in writing by the President. When revisions are warranted, staff members will be notified. Any portion of this Handbook that is determined to be invalid is severable from the other policies and statements in the Handbook and does not invalidate the entire Handbook.

Should a conflict arise between the provisions of the Handbook and the provisions, laws, or regulations of the federal or state government, or the governing or coordinating boards of regents for the University, the latter shall take precedence.

After reading this Handbook, should you have any questions, please do not hesitate to discuss them with your supervisor or to call the Administrative Vice President. In the event of disagreement or misunderstanding of any item presented in this Handbook, the official interpretation rests with the Administrative Vice President.

This July 2024 edition of the Employee Handbook supersedes any previously written handbooks or policies.

HISTORICAL INFORMATION

Northwestern Oklahoma State University was established officially as a normal school in 1897 with the passing of a law by the Oklahoma Territorial Legislature. It has the distinction of being the second normal school in the state.

The first faculty of this school was composed of the President, James E. Ament, and two teachers.

Until a building to house the new college could be constructed, the classes were housed in the Congregational Church. The school opened on September 20, 1897, with an enrollment of 68 students.

By the fall of 1899, the first building had been completed, and the school was transferred to its present site. The cost of construction of the first building was underwritten by a number of private citizens, since no appropriation for this building had been passed by the legislature.

The school became a four-year college and was designated Northwestern State Teachers College in 1919. Next, the school was expanded to include degrees in Liberal Arts as well as Education in 1939 and “Teachers” was dropped from the title. The final name change occurred in 1974 when it was renamed Northwestern Oklahoma State University.

By constitutional amendment in 1941, a newly created Oklahoma State Regents for Higher Education was charged with fiscal policies and determination of function and courses of study for all of the institutions in the state system. Exercising this responsibility, the Oklahoma State Regents for Higher Education prescribe the general curriculum requirements for Northwestern and the other state institutions.

The Board of Regents of Oklahoma Colleges was created by Article XIII-B of the Oklahoma Constitution on July 6, 1948, to govern the six regional universities of today: East Central University, Northeastern State University, Northwestern Oklahoma State University, Southeastern Oklahoma State University, Southwestern Oklahoma State University, and the University of Central Oklahoma. (The Board of Regents of Oklahoma Colleges is now referred to as the Regional University System of Oklahoma Board.)

In 1951 the Oklahoma State Regents for Higher Education authorized Northwestern to offer courses, transferable to the University of Oklahoma and Oklahoma State University, applying toward a master’s degree in education. Beginning with the summer term of 1954, a fifth-year program in teacher education leading to a Master of Education degree was instituted at Northwestern as authorized by the Oklahoma State Regents for Higher Education.

The Oklahoma State Regents for Higher Education authorized the implementation of a fifth-year interdisciplinary sociology and psychology program leading to a Master of Behavioral Science degree in the fall of 1978. A nursing program leading to a Bachelor of Science in Nursing degree was established in the fall of 1981. In 1996, the Oklahoma Legislature signed into law the development of the Enid and Woodward campuses. In 2016, the State Regents authorized Northwestern to offer its first doctoral program in nursing practice.

More than one hundred years have passed since Northwestern Oklahoma State University opened its doors. The 40 acres and no buildings of 1897 have now become approximately 415 acres and 37 buildings, including the Enid and Woodward Oklahoma facilities. The three faculty members and 68 students have increased to more than 200 faculty and staff members and 2,000 students.

STATE ADMINISTRATION OF THE UNIVERSITY

Northwestern Oklahoma State University is under the control and jurisdiction of the following boards of regents and departments:

Oklahoma State Regents for Higher Education: This is a constitutional board of nine members, appointed by the Governor and approved by the State Senate, with one member's term expiring each year. The duties of the Board are as follows:

- (1) It shall prescribe standards for higher education applicable to each institution;
- (2) It shall determine the functions and courses of study in each of the institutions to conform to the standards prescribed;
- (3) It shall grant degrees and other forms of academic recognition for completion of the prescribed courses in all such institutions;
- (4) It shall recommend to the State Legislature the budget allocations to each institution;
- (5) It shall have the power to recommend to the legislature proposed fees for all such institutions, and any such fees shall be effective only within the limits prescribed by the Legislature;
- (6) The appropriations are made by the Legislature for higher institutions as one amount, and the Board distributes this by certifying to the State Treasurer the amount for each institution;
- (7) It then has the authority to transfer funds from one account to another in the institution, but it cannot reduce the allotment to the institution;
- (8) It has no control or jurisdiction over the operation of the University other than the responsibilities enumerated above.

Regional University System of Oklahoma: <https://www.ruso.edu/> This Board is a constitutional board, consisting of nine members, eight appointed by the Governor with the consent of the State Senate. The ninth member is the State Superintendent of Public Instruction. Members of the Board serve a nine-year appointment with one member's term expiring each year. Duties and powers of the Board are as follows:

- (1) Adopt such rules and regulations as it deems necessary to govern each of the institutions under its jurisdiction.
- (2) Employ and fix the compensation and duties of such personnel as it deems necessary, including architects, attorneys, engineers, and other professional and technical persons for its operation and for the operation of the institutions under its jurisdiction. Any of such personnel having custody of public funds or other public property may be required to furnish corporate surety bonds in such amounts as may be deemed necessary by the Board, payable to the State of Oklahoma and conditioned upon a faithful accounting of all such funds and property.
- (3) Enter into contracts, purchase supplies, materials and equipment, and incur such other expenses as may be necessary to make any of its powers effective.
- (4) Authorize officials at the several institutions under its jurisdiction to act in its behalf in making of contracts, or in carrying out the powers conferred upon it.
- (5) Receive and make disposition of monies, grants, and property from federal agencies, and administer the same in accordance with federal requirements.
- (6) Accept gifts of real and personal property, money, and other things, and use or dispose of the same in accordance with the directions of the donors or grantors thereof.
- (7) Direct the disposition of all monies appropriated by the Legislature or by the Congress or derived from the sale of bonds or received from the sale of bonds or received from any other source by the institutions under its jurisdiction.
- (8) Acquire and take title to real and personal property in its name, on behalf of any of the institutions

under its jurisdiction, and convey, exchange or dispose of, or otherwise manage or control, such property in the interest of such institutions, including the granting of leases, permits, easements and licenses over or upon any such real property. The Board shall have the power to institute any legal action in the name of the Board before any court having jurisdiction of such actions. The Board shall have custody of abstracts of title and instruments affecting the ownership of or title to real property acquired for or belonging to such institutions.

(9) Have supervision and charge of the construction of all buildings at institutions under its jurisdiction.

(10) Determine the need for and cause to be constructed dormitories and other buildings, on a self-liquidating basis, at any institution under its jurisdiction.

(11) Establish and maintain plans for tenure and retirement of employees of the Board and of the institutions under its jurisdiction, and for payment of deferred compensation of such employees; and provide hospital and medical benefits, accident, health and life insurance, and annuity contracts, for such employees and their dependents. The Board may pay for all or a part of the cost thereof for employees, with funds available for the operation of the institution. Amounts payable by an employee for such insurance or annuity contracts may, with the consent of the employee, be deducted from his salary.

(12) Maintain an inventory of all property belonging to each of the institutions under its jurisdiction.

(13) Audit all accounts against the funds allocated to the institutions under its jurisdiction.

(14) Provide penalties and forfeitures by way of damages and otherwise for the violation of rules and regulations of the Board, which may be sued for and collected in the name of the Board before any court having jurisdiction of such actions.

(15) Do all things necessary or convenient to carry out the powers expressly granted to it by Article XIII-B of the Constitution and the Statutes of Oklahoma, or to make institutions under its jurisdiction effective for the purposes for which they are maintained or operated. (70 O.S. 3510)

RUSO Policy Manual may be found at:

https://docs.wixstatic.com/ugd/f17c8f_614be8f56e1d48c49bed2164990e73d5.pdf

State Treasurer: All claims for purchases made by the Regional University System of Oklahoma Board, or the University, and approved by the Director of State Finance are paid by the State Treasurer.

MISSION STATEMENT

Northwestern provides quality educational and cultural opportunities to learners with diverse needs by cultivating ethical leadership and service, critical thinking and fiscal responsibility.

VISION

We aspire to be a vibrant and innovative regional university of choice whose students, faculty, staff, and alumni succeed and lead in their academic, professional, cultural, and service endeavors.

VALUES

Academic Excellence

Northwestern will provide the best possible educational experience for every student.

- Focus on quality teaching and advising
- Respond effectively to the learning needs of each student
- Embrace the role of technology in the educational process
- Promote opportunities for teaching and learning outside of the classroom

Accessibility

Northwestern is committed to accessibility of its programs and services.

- Embrace our mission as a multiple site regional university
- Continually work to maintain affordability
- Seek new methods to deliver programs and services to our constituencies

Community

Northwestern will create a sense of community that extends beyond campus boundaries.

- Seek partnerships and initiatives that will improve the quality of life for area residents
- Promote institutional and individual service to others
- Embrace our role in a global society

Diversity

Northwestern will respect the individual rights of all persons.

- Value the differences in every individual
- Promote the expression of differing opinions and beliefs
- Appreciate the culture and backgrounds of each person
- Treat every individual with respect

Responsibility

Northwestern will maintain the highest levels of ethical standards and accountability.

- Act with integrity and accept responsibility
- Use our resources in the most effective and efficient manner
- Conduct business in an atmosphere of transparency
- Promote a culture of continuous improvement

STRATEGIC DIRECTIONS

Northwestern will deliver and assess academic programs that include meaningful content and experiences to prepare graduates for successful careers and service to society.

Northwestern will provide a high-quality educational experience for all students from time of first inquiry through graduation.

Northwestern will develop policies and practices that foster a university-wide culture of excellence, accountability, and collaboration.

Northwestern will forge strategic partnerships that advance the mission of the University.

NONDISCRIMINATION EQUAL OPPORTUNITY AFFIRMATIVE ACTION POLICY

This institution, in compliance with Title VI and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, sections 503 and 504 of the Rehabilitation Act of 1973, the Americans With Disabilities Act Amendment Act of 2008, and other applicable federal laws and regulations, and to the extent required by law, does not discriminate on the basis of race, color, national origin, sex, age, religion, genetic information, physical or mental disability, or status as a veteran in any of its policies, practices, or procedures. This includes, but is not limited to, admissions, employment, financial aid, and educational services. Inquiries concerning the application of these programs should be made to Calleb Mosburg, Dean of Student Affairs and Enrollment Management, Northwestern Oklahoma State University, 709 Oklahoma Boulevard, Alva, OK 73717, (580) 327-8415.

1. RECRUITMENT AND SELECTION

1.1 ADVERTISING

Vacant position notices for all jobs (other than entry level) shall be posted internally for at least three work days in order to provide first consideration to current employees (defined as current regular full-time employees). Exceptions may be granted by the President and Administrative Vice President. If the internal posting does not produce an acceptable applicant, an external search will be initiated by the hiring supervisor with the assistance of the Director of Marketing and University Relations.

For all three campuses, the internal posting requirement will be met with a listing on the official Northwestern Oklahoma State University Job Openings webpage located at: <http://www.nwosu.edu/employment>.

In addition, a printed posting will normally be placed for at least three days on the official administrative bulletin board located in: Alva—hallway outside of Payroll Office, room 101 of Herod Hall.

Advertisements should be approved by the Administrative Vice President. The hiring department will place and pay for any advertisements. The Administrative Vice President shall periodically solicit applications through public advertisement.

1.2 SELECTION AND EMPLOYMENT

1.2.1 Application for Employment

Persons who wish to be considered for employment should complete and submit to the Human Resources Office the appropriate application form found at the link above. The Application for Employment should be used for positions that meet the Fair Labor Standards Act (FLSA) definition of “exempt” (See Section 2.2.1). For positions designated as “non-exempt” (See Section 2.2.2), the Application for Employment for Non-Exempt Positions should be used.

1.2.2 Screening

Supervisors shall review applications for employment on file with the Human Resources Office. The supervisor will administer the screening, interviewing, and selection process.

1.2.3 Recommendation for Employment

After the supervisor has reviewed the applications and determined qualifications of an applicant to be commensurate with the job description through an interview, the supervisor shall extend a “soft offer” of employment contingent on successful completion of a background screening processed through the Human Resources Office. Once the hiring supervisor is notified by the appropriate vice president to proceed, he or she shall notify the successful candidate that the job offer is now a “firm offer.” If the finalist is satisfied with the terms of employment, the hiring supervisor shall complete an Employee

Transaction Form for Full-time Employees Only. This form shall be routed through assigned channels to the President. The President's Office will then prepare a full-time employment Letter of Appointment for the employee to sign. For part-time employment, the supervisor initiates a Temporary Employment Action Form and routes it through assigned channels for approval after the finalist has been cleared for Human Resource's background screening by the appropriate vice president.

1.2.4 Employment

After the President approves the Employee Transaction Form (or the Administrative Vice President approves the Temporary Employment Action Form), the supervisor notifies the employee and schedules a time that the employee can meet with the Human Resources Director to complete the official payroll and benefits paperwork. For full-time employees, the Administrative Assistant to the President will forward the Employee Transaction Form and a Letter of Appointment to the Human Resources/Payroll Office for processing. (Human Resources uses the approved Temporary Employment Action Form for processing part-time employment.)

1.3 FOLLOW-UP

1.3.1 Administrative Supervisor

Once the selection has been made, all applications for full-time job openings and supporting documents shall be forwarded to the Human Resources Director for reference and filing. The administrative supervisor should forward a copy of the newly hired full-time employee's application, resume, and college transcript to the President's Office for the personnel file. The administrative supervisor is responsible for sending letters to the unsuccessful applicants notifying them that the position has been filled.

1.3.2 The Human Resources Office

The Human Resources Office shall verify that all necessary employment documents are complete before directing the information to the Payroll Accountant for State of Oklahoma payroll processing. (See Section 1.4, Conditions of Employment.)

1.4 CONDITIONS OF EMPLOYMENT

The following forms must be completed in the Human Resources Office, or as otherwise designated. Other forms may be required in addition to those listed:

1.4.1 Loyalty Oath

Each new university employee must sign a Loyalty Oath, by law, before being paid on a state payroll.

1.4.2 Employee's Withholding Allowance Certification (W-4)

Federal and State law requires all employees to complete and sign a Federal and State W-4 form.

The employee must present a current Social Security card with the completed W-4 forms.

1.4.3 Employment Eligibility Verification (I-9) and E-Verify

Federal law requires all persons employed after November 6, 1986, to complete Form I-9 and to provide certain documents that prove identity and eligibility for employment in the United States.

Enacted in November 2007, the Oklahoma Taxpayer and Citizen Protection Act (HB1804) **requires all government agencies to verify their new hires through E-Verify.**

1.4.4 Drug-Free Schools Policy

All employees are required to sign an Acknowledgment of Understanding and Compliance with the Drug-Free Schools Policy, after having read the Drug-Free Schools Policy Brochure provided by the President's Office or the Human Resources Office.

1.4.5 Health Policy

The University may request a physical, psychological, or psychiatric examination from an applicant for employment after a job offer is extended or from an employee returning to work from leave that resulted from an injury or illness. Whether such a request is made will be determined solely by the administration and the examination will be at university expense. Compliance with a request for examination is a condition of employment.

If the examination shows that the individual is unable to perform the principal job duties, with or without reasonable accommodation, the job offer will be withdrawn, or in the case of a returning employee, he or she may not be permitted to return. Questions regarding accommodation for disabilities (under the Americans with Disabilities Act) may be presented to the Dean of Student Affairs and Enrollment Management at 580-327-8415.

1.5 AT-WILL EMPLOYMENT

Except as otherwise provided in the RUSO Policy Manual, all employees of Northwestern Oklahoma State University are employed at-will. The at-will employment relationship may be terminated by either the employer or the employee, at any time, without notice, and with or without cause. This Handbook is not an employment contract. The contents of this Handbook in no way modify or amend the right of the University or its employees to terminate the employment relationship at any time with or without cause. Promises or representations, unless made in writing by the President, concerning the terms and conditions of employment do not negate the at-will status of employees covered by this paragraph. (See RUSO sections 1.24.3 and 3.4-3.4.6)

1.6 TYPES OF APPOINTMENTS

Positions at Northwestern Oklahoma State University are categorized as one of the following:

1.6.1 Regular Full-time Employees

Regular full-time employees are those employees who work at least 30 hours per week (75%) and maintain continuous regular employment status.

1.6.2 Regular Part-time Employees

Regular part-time employees are those employees who work less than 30 hours per week and who maintain continuous regular employment status. Employees in this classification may work more than 29 hours per week on occasion, but should not average 30 hours per week or more during the appointment.

1.6.3 Temporary Employees

Temporary employees are expected to work less than three months. A temporary assignment should not be extended without the prior approval of the Administrative Vice President.

1.6.4 Seasonal Employee

Seasonal employees are expected to work only during an active season in which they were hired and should not extend past the season without the prior approval of the Administrative Vice President. Examples: football chain gang, basketball concession stand worker, etc

2. COMPENSATION AND CLASSIFICATION

The compensation and classification program of Northwestern Oklahoma State University is administered by the Administrative Vice President. The program also provides recognition for differences in individual ability and performance.

2.1 JOB DESCRIPTIONS

The University classifies each position in accordance with its functions, duties, responsibilities, and relationship to other positions. Supervisors, with assistance from the Human Resources Office, develop descriptions for positions specifying the duties, responsibilities, supervision received, supervision given, and the education, training, and experience necessary for a person to function effectively in that position.

2.2 EXEMPT AND NON-EXEMPT CLASSIFICATIONS

The Administrative Vice President shall determine and specify, subject to the provisions of the Fair Labor Standards Act, those classifications which shall be exempt. All classifications not specifically determined to be exempt classifications shall be considered non-exempt classifications.

2.2.1 Exempt Classification

Exempt classifications are those classifications which shall neither be entitled to the earning of,

accrual of, or credit for overtime and/or compensatory time. The department supervisor has the discretionary authority to arrange the work schedule of an exempt employee.

2.2.2 Non-Exempt Classification

Non-exempt classifications are those classifications which shall be eligible for earning of and credit for, appropriately authorized overtime and/or compensatory time off. These employees, whose work must be documented and maintained in accordance with federal regulations, must maintain detailed records reflecting the actual time worked. The employee's supervisor and the Administrative Vice President must approve overtime work in advance, except in cases of emergency.

2.3 SALARY INCREASES

Administration reviews the salaries of all employees at the beginning of each fiscal year. On July 1 of each year, pending Board approval, the President's Office issues new Letters of Appointment. Any adjustments are based on work performance, promotion, demotion, labor market conditions, legislative actions, and budget constraints. Northwestern Oklahoma State University is an "at-will" employer. "At-will" employment is for no specified term and is terminable at the will of either the employee or employer.

2.4 PAYCHECKS

The Human Resources Office directs the Oklahoma State Treasurer's Office to deposit all pay into employee-designated bank accounts on the last working day of the month for the pay period beginning the first day of that month. Earnings Statements may be viewed through the employee's self service account under the Employee Tab.

The employee must sign an Automatic Deposit Transmittal Form in the Human Resources Office.

2.5 PAYROLL CHANGES

Any change in the amount of a payroll deduction should be submitted to the Human Resources Office by the eighth of the month in order for it to be effective with the next payday.

Changes in insurance coverage should be made in the Human Resources Office, by the eighth of the month for it to be effective with the next payday.

2.6 HOLIDAY PAY

An employee must be a regular full-time employee (See Section 1.5.1 Regular Full-time Employees) and be in paid status the day before and the day after a holiday in order to receive holiday pay.

Employees, when terminating, are not eligible for any holiday pay beyond the date of termination, even though accumulated annual leave time may encompass the holiday.

If a designated holiday falls within an annual or sick leave period for a regular full-time employee in Active status, the holiday shall not be charged against annual or sick leave.

A regular full-time employee scheduled to work on a university-approved holiday will be granted an equal amount of time off with pay on a date mutually agreed to by the employee and department supervisor. If an employee is scheduled to work on a university-approved holiday and becomes ill, the employee should request sick leave for that day and receive his or her holiday as previously scheduled by the supervisor.

2.7 ANNUAL LEAVE PAYMENT

Earned, unused annual leave will be paid to all terminating regular full-time 12-month employees who have completed continuous full-time service for six months. Final payment of unused annual leave will be available on the normal pay date the month following termination, contingent on successful completion of the termination procedure. (See Section 8.4.2 Termination Procedure).

2.8 GARNISHMENTS

Each employee is expected to take care of his or her financial obligations independent of the University.

The University must process and respond to court-ordered wage assignments, garnishments, and tax levies in the legally prescribed manner.

3. HOURS OF WORK

3.1 WORK WEEK

For payroll record-keeping purposes, the work week at Northwestern Oklahoma State University begins at 12:01 a.m., Sunday, and ends at 12 midnight, Saturday. A regular full-time employee will normally be scheduled to work 40 hours per work week. Regular daily work hours are from 8 a.m. to 5 p.m., with one hour off for lunch. At the discretion of the President, the official work hours may vary during summer months and by campus.

3.2 ABSENTEEISM

An employee who expects to be late or absent from work shall be responsible for notifying his or her supervisor as soon as possible, but no later than the beginning of the shift, indicating when he or she will report back to work. This allows the supervisor to make other work arrangements as necessary. Any employee who fails to comply with this rule will be subject to disciplinary action, including dismissal.

In chronic or unusual cases of absenteeism, the University reserves the right to require documentation or verification of the reasons for absence. Excessive absenteeism must be noted on

the employee performance evaluation and may lead to disciplinary action, including dismissal.

3.3 TARDINESS

An employee is expected to be at his or her work station at the scheduled work time. Excessive tardiness must be noted on the employee performance evaluation and may lead to disciplinary action, including dismissal.

3.4 ABANDONMENT OF POSITION

An employee who has failed to report to work for three consecutive work days without notifying his or her supervisor will be considered to have voluntarily resigned his or her position.

3.5 LUNCH PERIOD

The lunch period consists of one hour daily unless otherwise scheduled by the supervisor to meet special needs. An employee may not forego the lunch period to shorten the work day or work week, or rearrange the lunch period, without the supervisor's prior consent.

3.6 WORK BREAKS

Employees **may** be granted a paid rest period during each four-hour work period. Rest periods are scheduled by departmental or unit supervisors and are subject to revocation or modification as work load demands vary. Rest periods are a privilege granted to employees for the purpose of promoting efficiency and productivity and may **not** be accumulated to extend lunch periods or to shorten the work day. Working through scheduled rest periods either voluntarily or because of necessity does not entitle an employee to additional compensation or compensatory time off. Rest periods should not exceed 15 minutes per four-hour period and remain on company grounds. Breaks offsite will need to be recorded as time off on the weekly work schedule.

3.7 WEEKLY WORK SCHEDULES OR LEAVE RECORDS

Non-exempt employees must accurately maintain their own Weekly Work Schedules. This schedule is an important, legal, time-recording document. Completed schedules must be received by the Human Resources three work days after the last Sunday of each month so the regular faculty/staff payroll processing can begin. The schedules must be signed by both the employee and the supervisor.

Exempt employees must complete an Administration or Faculty Report of Sick Leave, Vacation Leave, or Special Leave when absent from work. Absences from work are to be anticipated as much as possible and scheduled with the supervisor. In any case, the supervisor should be notified promptly. Requests for annual leave or special leave should be submitted three working days in advance.

3.8 OVERTIME PAY OR COMPENSATORY TIME OFF FOR NON-EXEMPT EMPLOYEES

The University's policy of giving compensatory time off in lieu of payment for overtime worked should be followed in every possible situation. Direct any policy exception request to the Administrative Vice President.

3.8.1 Rate and Computation

Should a non-exempt employee work more than 40 hours during the established work week (See Section 3.1, Work Week), he or she will be given compensatory time at the rate of one and one-half hours for each hour worked over 40. Holidays, annual leave, sick leave, and compensatory time off will not be treated as hours worked for the purpose of computing compensatory time at one and one half. However, if actual hours worked plus hours paid for but not worked (holidays, annual leave, etc.) exceed 40, the employee will be given compensatory time at the rate of one hour for each hour worked in excess. Compensatory time off must be taken during the time designated as the employee's normal work week.

If payment of overtime is approved, the rate of pay will be calculated using the employee's then current hourly rate. For regular, salaried 12-month employees, hourly rate equals the annual salary divided by 2080 (the product of 52 week's times 40 hours).

3.8.2 Authorization

Overtime hours worked and compensated with compensatory time off, at a time mutually agreeable between the employee and his or her supervisor, must be approved in advance by the employee's supervisor except in cases of emergency.

Payment for overtime worked must be approved in advance by the Administrative Vice President.

3.8.3 Time Limits

The maximum compensatory time which may be accrued by any affected employee shall be 240 hours (180 hours of actual overtime hours worked as defined in Section 3.8.1, Rate and Computation). Any compensatory time above the 240 hours will be paid out at current rate of earnings the following month.

An employee transferring from one department to another is required to use accumulated compensatory time prior to transferring.

3.9 ON CALL

Some jobs may require an employee to be placed "on call," subject to being available should his or her services be required.

3.10 Campus Closure—Administrative Leave

The University Relations Office will be responsible for contacting the news media if the President makes the decision to close any part of the University due to inclement weather conditions. The local radio stations will specify whether the administrative offices and/or classes will be closed.

Unless the administrative offices are specifically mentioned as being closed, always assume the University is open. Once a decision is made to close the campus/cancel classes supervisors will be notified by Administration who in turn will notify their employees by a call or text. Be sure to give your supervisor a phone number in which they can reach you. The decision will also be posted to NWOSU's website. You can also elect to participate in our Emergency Notification System by electing the service within your self-service account under the daily work tab.

If classes are not held but administrative offices are open, employees who are unable to come to work would have the option to charge the time missed to either Personal Leave or Annual Leave. If administrative offices are closed, that day's absence would be entered on time sheets as "Administrative Leave." By doing so, an employee would be paid for the day and the time would not be deducted from any accumulated Personal Leave or Annual Leave.

When administrative offices are closed due to inclement weather conditions by the appropriate authority, there are still a few employees who are required to be on the job due to the nature of their work assignment. When this situation exists, these employees will be credited with the appropriate amount of administrative time.

4. EMPLOYMENT POLICIES

Northwestern Oklahoma State University is an "at-will" employer. (See section 1)

4.1 MANAGEMENT PROVISIONS

The University has the right to exercise customary functions of management, which include, but are not limited to, the following:

1. hiring, promoting, transferring, disciplining, and terminating employees in accordance with university policies;
2. reassigning an employee from one position to another position;
3. directing the work force; determining its composition, organization, and structure; and scheduling and assigning work;
4. establishing reasonable job standards, expectations, work rules, policies, and regulations;
5. determining the extent and schedule of its operations;
6. maintaining the orderly conduct of the work force, requiring job performance which meets job requirements, and terminating employees in accordance with university policies; and
7. amending, revising, revoking, or issuing policies and rules governing university employees.

4.2 EMPLOYEE PROVISIONS

Regular full-time employees may:

1. be appraised on job-related criteria;
2. express employee concerns and complaints;
3. expect to work in a safe environment;
4. expect reasonable and nondiscriminatory application of the Regional University System of Oklahoma Board, university, and departmental policies and rules; and
5. expect termination proceedings and other disciplinary action to be made in accordance with the Regional University System of Oklahoma Board and university policies.

4.3 FALSIFICATION

Any false, incomplete, or incorrect statement, answer or representation, given intentionally or unintentionally by any person, either orally or in writing, pertaining to availability, acceptability, or eligibility for employment in any department, school, classification, or position at Northwestern Oklahoma State University, or pertaining to personal information or background information on any authorized form, record or file, may result in refusal of employment by Northwestern Oklahoma State University. If such information is found after employment, appropriate disciplinary action up to, and including, termination may be taken.

4.4 VACANCIES

In order to be considered for a non-exempt vacancy, an employee should apply through the Human Resources Director. To be considered for an exempt vacancy, an employee should apply through the appropriate vice president in charge of the affected area, as listed in the University's Administrative Organization Chart.

For assistance in filling a vacancy, supervisors should refer to (Section 1, Recruitment and Selection) or contact the Administrative Vice President for guidance.

4.5 DEFINITIONS

4.5.1 Employment

4.5.1.1 Initial Employment refers to placing an individual on the payroll for the first time.

4.5.1.2 Reinstatement refers to placing a former employee with at least two years of continuous service on the payroll after a break in service of six months or less. (See Section 4.8, Reinstatement.)

4.5.1.3 Re-employment refers to placing a former employee on the payroll after a break in service of more than six months. (See Section 4.9, Re-Employment.)

4.5.2 Promotion

This is defined as the advancement of an employee to a classification with a higher pay range. (See Section 4.6, Promotions and Transfers, Section 4.10.1, Promotions.)

4.5.3 Transfer

A transfer occurs when an employee moves from one position to another position of equivalent responsibility within the same pay range. (See Section 4.6, Promotions and Transfers, Section 4.10.2, Transfer or Demotion.)

4.5.4 Demotion

The movement of an employee to a classification with a lower pay range. Demotions may be either disciplinary or non-disciplinary. (See Section 4.10.2, Transfer or Demotion.)

- a. A disciplinary demotion will result in decrease in pay.
- b. A non-disciplinary demotion may or may not result in decrease in pay.

4.6 PROMOTIONS AND TRANSFERS

Transfers can be made in order to provide equitable consideration and opportunity for qualified employees to fill job vacancies at Northwestern Oklahoma State University. An employee desiring to transfer should discuss possibilities with his or her supervisor. Arrangements for interviews and transfers will be made in agreement with the employee and supervisor.

The University encourages the upward mobility of employees to positions for which they are qualified and which meet their career interests and objectives.

Promotion will be based on qualifications such as experience, education, attendance, ability, and other job-related factors.

1. An employee interested in promotional opportunities must provide the Human Resources Director with updated employment application material as positions open.
2. When an employee transfers to a different department, all sick leave and annual leave balances transfer with the employee.

4.7 EMPLOYMENT OF RETIREES

Oklahoma Teachers' Retirement System regulations require a minimum of 60 calendar days between a retiree's last day of pre-retirement public education employment and any post-retirement employment with an entity that participates in the System. Retirees may return to work after the 60-day break in service, but only within the parameters established by the Oklahoma Teachers' Retirement System and only if the University has need for the services. Copies of the regulations and further information are available in the Human Resources Office.

4.8 REINSTATEMENT

An employee with two or more years of continuous full-time service before termination under satisfactory conditions, upon application and completion of proper documents, may be reinstated by the University within six months following termination, contingent on recommendation of the

department supervisor and approval of the President. Reinstatement is only possible if a vacancy for which the person is qualified exists. Such an employee will have previously accrued sick leave balance and annual leave accrual rate restored to his or her employment record.

Nothing in this Handbook establishes the right of a former employee to be re-employed at the University. The purpose of this paragraph is merely to establish under what circumstances a person who is employed at the University may be entitled to previous benefits.

4.9 RE-EMPLOYMENT

An employee who terminates his or her employment under satisfactory conditions and who desires to return after six months, may do so upon application and completion of proper documents, with a recommendation from the department supervisor and approval by the President, if a vacancy exists for which the person is qualified. The returning employee in this category would return with no restoration of previous benefits. Nothing in this Handbook establishes the right of a former employee to be employed at the University.

4.10 PROBATIONARY PERIOD

A probationary period is used to evaluate an individual's ability to perform his or her assigned duties; communicate effectively with co-workers; follow directions, rules, and policies; work in harmony with co-workers; and present a positive image of the University. The end of the probationary period does not indicate "permanent" employment. The University maintains its right to employ "at-will" and may choose not to renew an appointment to a position, regardless of probationary status.

All regular full-time and part-time, new-hire and rehire employees are considered to be on probation during the first twelve months of employment. During this period, a supervisor will monitor closely the performance of the probationary employee to determine fitness for the position. Probationary full-time employees are eligible for benefits. (See Section 5, Employee Benefits.)

The probationary period is a time during which the employee demonstrates the skills and abilities necessary to perform the duties assigned. Every supervisor should routinely keep notes on each employee's performance, and especially document counseling sessions. As an employee's evaluation period (prior to completion of the first twelve months of service) approaches, those notes should be reviewed to consider whether the probationary employee should be retained. All Performance Evaluation Forms are to be forwarded to the President's Office, where they become a part of the employee's permanent file. (See Section 4.11, Performance Evaluations.)

4.10.1 Promotion

Upon promotion to a new classification, an employee shall be placed in a three-month probationary period to allow the department supervisor a sufficient amount of time to measure the efficiency and productivity of the probationary employee. Such probationary periods do not affect an employee's eligibility for accrual or use of university benefits.

4.10.2 Transfer or Demotion

A three-month probationary period is also served each time an employee receives a transfer or demotion. Such probationary periods do not affect an employee's eligibility for accrual or use of university benefits.

4.11 PERFORMANCE EVALUATIONS

A Performance Evaluation Form reflecting an employee's progress becomes a permanent part of his or her personnel file. This file is referred to for salary review and promotional considerations, and is also used as background material should a supervisor feel the need to discuss improvement in an individual's work.

4.11.1 Probationary and Annual Evaluations

A supervisor will evaluate an employee prior to completion of the first twelve months of service and at least annually thereafter. (See 4.10, Probationary Period.) However, a supervisor may evaluate an employee more often at his or her discretion.

4.12 TERMINATION CLEARANCE PROCESS

Employees should submit resignations to the University in writing. Management personnel are requested to provide one month notice and all others are requested to provide ten work day notice of resignation. For pay calculation purposes, the last day of work should be listed as the official date of resignation.

The exiting employee should submit a letter of resignation to the department supervisor to be forwarded through administrative channels to the President's Office. The salary of an employee whose services are terminated before the end of the month will be pro-rated on the basis of hours worked during the month of termination.

The employee must complete a Faculty and Staff Checkout Clearance Form before the last regular paycheck can be processed. The clearance procedure includes turning in keys and clearing all university debts. The form is available in the Human Resources Office and must be returned there when completed.

Supervisors are responsible for completing the Employee Transaction Form and submitting to the Administrative Vice President.

4.13 KEYS

Keys shall be authorized for issue only to persons with a substantial need to lock or unlock specific facilities, and they shall be issued only after verification of administrative approval on a properly executed Key Request Form. Keys must be picked up in person at the Maintenance Office. The employee will be required to sign a Keyholder's Agreement. Keys must be returned in person to the

Key Control Officer in the Maintenance Office when no longer needed for a specific area or when keyholder leaves University employment.

Keys remain university property, entrusted to the individual keyholder for his or her exclusive use and should never be exchanged or loaned. No one has authority to produce, duplicate, or alter a university key, except the Key Control Officer in Maintenance.

An employee is responsible for reporting any lost or stolen keys immediately to the department supervisor, the NWOSU Department of Public Safety, and the Key Control Officer in the Maintenance Office on a Key Loss Form. When a key is lost or stolen, the employee must pay a replacement charge per key of \$25 for regular keys and \$50 for a master key. If the key is found after the \$25/\$50 has been paid, \$25/\$50 will be refunded if the building was not re-keyed as a result of the key loss and only after the key is returned to the Key Control Officer.

Unauthorized use or duplication of a key is cause for disciplinary action. (See Section 8.4, Disciplinary Violations.)

4.14 PARKING

All vehicles parked on university property must display the appropriate parking permit sticker which is available free of charge at the Office of the Dean of Student Affairs and Enrollment Management, Monday through Friday, between 8 a.m. and 5 p.m. Parking permit stickers should be placed on the rear window on the driver's side of the vehicle. Vehicles found parking on campus without a current permit sticker will be ticketed.

As a courtesy to university visitors, certain parking areas have been designated for visitors only. Employees' vehicles parked in visitor parking spaces will be ticketed.

An employee who receives a ticket (citation) should take it to the Office of the Dean of Student Affairs and Enrollment Management. A permit holder is responsible for all charges made against his or her permit number, regardless of the identity of the driver. Therefore, employees are encouraged to remove parking permit stickers from vehicles they no longer own. Cars parked in FIRE ZONES and HANDICAPPED ZONES may be towed at the owner's expense.

4.15 PRIVACY

Nothing contained within this Handbook may be interpreted as giving an employee an expectation of privacy. Offices, desks, computers, email, file cabinets and other furniture and equipment provided by the State or from a grant are not private although certain spaces may contain records that are specifically confidential. Each employee must ensure that there is clear access at all times to any materials or information which may be needed to conduct the business of Northwestern Oklahoma State University.

4.16 BREASTFEEDING EMPLOYEES

In recognition of the well documented health advantages of breastfeeding for infants and mothers,

Northwestern Oklahoma State University provides a supportive environment to enable breastfeeding employees to express their milk during work hours.

NWOSU subscribes to the following worksite support policy. This policy shall be communicated to all current employees and included in new employee orientation training.

Company Responsibilities:

Breastfeeding employees who choose to continue providing their milk for their infants after returning to work shall receive:

- Milk expression breaks
--Breastfeeding employees are allowed to breastfeed or express milk during work hours using their normal breaks and meal times. For time that may be needed beyond the usual break times, employees may use personal leave or may make up the time as negotiated with their supervisors.
- A place to express milk
--A private room (not a toilet stall or restroom) shall be available for employees to breastfeed or express milk. The room will be private and sanitary, located near a sink with running water for washing hands and rinsing out breast pump parts, and have an electrical outlet. If employees prefer, they may also breastfeed or express milk in their own private offices, or in other comfortable locations agreed upon in consultation with the employee's supervisor.

Employee Responsibilities:

- Breastfeeding employees are responsible for keeping milk expression areas clean, using antimicrobial wipes to clean the pump and area around it.
- Employees are also responsible for keeping the general lactation room clean for the next user. This responsibility extends to both designated milk expression areas as well as other areas where expressing milk will occur.

Milk Storage:

Employees should label all milk expressed with their name and date collected so it is not inadvertently confused with another employee's milk. Each employee is responsible for proper storage of her milk using University provided refrigerator/personal storage coolers.

Use of Break Times to Express Milk:

When more than one breastfeeding employee needs to use the designated lactation room, employees can use the sign-in log provided in the room to reserve times that work best for their schedule.

5. EMPLOYEE BENEFITS

Northwestern Oklahoma State University provides several benefits to employees which are paid by

the employer. For specific coverage questions, please refer to policy booklets or call the Human Resources Office.

5.1 EMPLOYER-PAID INSURANCE

The following is intended to be a brief recitation of the coverage, but if it conflicts with the contract or law, the contract or law controls the issue.

All regular employees and faculty working 75% FTE (full-time equivalent) or more, receive these benefits. Temporary or seasonal employees are not eligible to participate.

5.1.1 Health Insurance

Coverage for health insurance is effective the first day of the month **following** the month in which the employee is employed in an eligible status. Coverage is governed by the policy of insurance with the current health insurer and is subject to change. Call the Human Resources Office for more details. Dependent coverage is available at the employee's expense.

Under certain conditions, insurance coverage may be extended beyond the time eligibility normally ceases. The insurance contracts and federal law specify reasons for coverage continuation and control whether or not the extension is allowed. These reasons include termination of employment (except for gross misconduct) or change from full-time to part-time employment. A dependent spouse may continue coverage after the employee dies, the employee is terminated for reasons other than gross misconduct, the employee changes from full-time to part time employment status, the couple divorces or agree to a legal separation. If an otherwise eligible child becomes ineligible due to one of these same reasons, or fails to meet age requirements, he or she may also apply to continue coverage. Contact the Human Resources Office for more information.

5.1.2 Life Insurance

Coverage is effective the first day of the month **following** the month in which the employee is employed in an eligible status.

Basic group term life coverage equals the annual base salary multiplied by two, with maximum coverage of \$250,000, subject to limits stated in the policy. Coverage is reduced after an employee's 65th, 70th, and 75th birthdays. Dependent coverage is available at the employee's expense for all eligible dependents. This option must be exercised within 31 days of the employee's date of full-time employment or the date the employee becomes eligible for dependent life insurance. If application is made after the 31 days, each dependent must provide evidence of insurability satisfactory to the company.

5.1.3 Long-Term Disability Insurance

Coverage begins after six full months of regular full-time employment. Benefits are integrated with workers' compensation, Social Security, and Oklahoma Teachers Retirement System disability benefits, so as to not exceed 60% of monthly wage bases. The maximum monthly benefit is \$8,000

and the minimum monthly benefit is \$100. Under the basic plan, there is a 180 calendar day elimination period before benefits begin. At the employee's option and expense, the elimination period may be shortened to 90 days. The employee must apply for this option within 31 days after the effective date for the basic coverage, or the company will require evidence of insurability at the employee's expense.

5.1.4 Vision Insurance

Vision insurance coverage is effective the first day of the month **following** the month in which the employee is employed in an eligible status. Dependent coverage is available at the employee's expense.

5.2 RETIREMENT

An employee should contact the Human Resources Office three months prior to his or her planned date of retirement.

5.2.1 Social Security

Every employee will participate in Social Security as provided by law.

5.2.2 Oklahoma Teachers' Retirement (OTR)

Northwestern Oklahoma State University "picks up" or pays retirement contributions due on all "OTR contributable salaries." OTR contributable salary consists of all wages and employer-paid benefits paid to or on behalf of an active enrolled member. A combination of average OTR contributable salary and years of service drives the benefit calculation at time of retirement. In order to draw a monthly benefit for life, a member must become "vested" with OTR. It takes 5 (five) Years of Service to reach vestment if first contribution to OTRS is on or prior to October 31, 2017. Any employee who's FIRST CONTRIBUTION to TRS is received by TRS on or after November 1, 2017 will be subject to 7 year vesting instead of 5 year vesting.

The Rule of 80 or 90 allows a vested member to retire before age 62 with unreduced income for life. The normal age for a full (unreduced) retirement is 62 or 65 based on employment start date. The Rule of 80 or 90 allows a vested member to retire before age 62/65 with unreduced income for life. (See OTRS Manual...Retirement Table)

Age 62 or combination 80: if joined OTRS prior to 7-1-92 and age + service = 80.

Age 62 or combination 90: if joined OTRS after 7-1-92 and before 11-1-11 and age + service = 90.

Age 65 or combination 90: if joined OTRS on or after 11-1-11 and age + service = 90. Minimum age of 60.

5.2.3 TIAA-CREF (PROGRAM DISCONTINUED 7-1-04)

5.2.4 Supplemental Retirement Annuity

Regular full-time employees hired in the Regional University System of Oklahoma prior to July 1, 1995, may qualify for a supplemental retirement annuity to be paid by Northwestern Oklahoma State University after retirement with Oklahoma Teachers Retirement. For further information, contact the Human Resources Office.

5.2.5 Retiree Insurance (Applies to persons hired into the RUSO before July 1, 2009.)

For a retiring employee who has been employed full-time in the Regional University System of Oklahoma for not less than ten (10) years immediately preceding the date of retirement, and has been a member of the Oklahoma Teachers' Retirement System during that time, and has elected to receive a vested benefit under the provisions permitted by the O.T.R.S. laws, the employing university shall continue to pay the group health and basic life insurance premiums for the retiring employee through the month in which they become Medicare eligible, or age 65, whichever comes later. The retiring employee shall have the option of continuing to pay the group health insurance premiums for his or her dependents. Pre-retirees (and any eligible dependents) wanting health and dental insurance coverage after retirement must enroll in such coverage during the Option Period prior to their date of retirement.

5.3 OPTIONAL BENEFITS

Northwestern Oklahoma State University also offers several optional benefits to be paid by the employee. Contact the Human Resources Office for information on any of these benefits:

1. Optional Voluntary Life Insurance on the Employee
2. Dependent life insurance
3. 403b tax-deferred annuity program
4. Critical Illness, Accident, and Hospital Indemnity Insurance
5. Short-term disability insurance
6. Employee and dependent dental insurance
7. Dependent health and vision insurance
8. LTD "Buy-Up" reducing the 6-month Elimination Period required with the basic benefit to only 3 months. (See Section 5.1.3, Long-Term Disability Insurance.)
9. Donations to the Northwestern Foundation (available by payroll withholding).

5.4 WORKERS' COMPENSATION INSURANCE

All Northwestern Oklahoma State University employees are covered by workers' compensation. Health expenses directly attributable to the performance of compensable work for the University are covered under this program. The cost of the premium is paid entirely by the University. An employee experiencing an on-the-job injury should not seek medical treatment (except in case of an emergency) without first notifying his or her supervisor of the injury. Also, after the employee receives medical treatment, he or she should contact the supervisor and the Human Resources Office to report the physician's findings. Prior to incurring medical expense for a non-emergency, on-the-job injury, the employee must obtain a referral from the University's Human Resources Office to present to the medical provider of the University's choosing.

5.5 UNEMPLOYMENT COMPENSATION

The University provides unemployment compensation benefits for eligible terminated employees or those who are laid off. Application is made directly with the Oklahoma Employment Security Commission.

5.6 ENROLLMENT OF EMPLOYEES IN UNIVERSITY COURSES

Northwestern Oklahoma State University encourages employees to take classes to improve themselves and to increase skill level in their work. Any non-exempt employee working full-time (40 hours per week) can take classes (up to five credit hours per week) provided he or she works during the noon hour. Annual leave may be taken in lieu of working during the noon hour.

Exempt employees are also encouraged to take courses. They should seek official approval from their immediate supervisor for an appropriate time schedule.

There are no restrictions for employees taking classes outside of the employee's regular working hours. However, such course work cannot interfere with the employee's duties as determined by the supervisor and the Administrative Vice President.

At the time of enrollment, an employee must present a completed Staff Tuition Waiver Application to the Financial Aid Office. Graduate employee and/or dependent tuition waivers are considered taxable income by the IRS, therefore employees are encouraged to consult their tax accountant/specialist regarding how this waiver affects their income tax liability.

5.7 CHANGE OF EMPLOYEE INFORMATION

The Human Resources Office must be promptly notified of changes of address, telephone number, marital status, number of dependents, beneficiaries, marriage or graduation of insured dependents, etc. Whenever possible, such changes should be reported before they occur to allow the Insurance Coordinator sufficient time to notify the appropriate companies and the Payroll Accountant enough time to make necessary changes in the payroll computer system.

6. SERVICES

6.1 HEALTH AND WELL-BEING

Regular full-time employees and their family, at no cost, may use the J.R. Holder Wellness Center facilities after a brief orientation.

Some counseling services are provided at no cost to employees. Contact the Office of Student Affairs and Enrollment Management for more information.

6.2 UNIVERSITY FOOD SERVICES

All university employees and their families are welcome to use any university dining facilities. For catering services for functions held both on and off the campus, contact the university food service.

6.3 TELEPHONE

Employees may use the campus telephone system for conducting business between departments, locally, or with long distance. Employees are asked to limit personal calls to a minimum. Employees should use third party billing or personal credit cards for personal long-distance calls that must be made at work on the University's telephone system.

University employees may use voice mailbox for leaving messages for business purposes. The mailbox acts as an answering machine for employee extensions. It will pick up both external and internal calls to an extension during no-answer or busy conditions. The employee is responsible for dialing into the voice mail system to retrieve messages.

New employees should call the Information Technology Office for assistance in initializing and using a mailbox.

Employees have no expectation of privacy with regard to the University telephone system or voice mailbox. (See Section 4.15 Privacy.)

6.4 ELECTRONIC MAIL

The NWOSU network is provided for faculty, staff and students to conduct research and communicate with others. Communications over the network are often public in nature; therefore, general rules and standards for professional behavior and communications will apply.

The network is primarily intended for the use of faculty, staff and students. Others wanting access to the network, including PCs in labs, must first check with the supervisor of the equipment and pay such fees as set by the University.

Faculty, staff and students may employ electronic mail (email) as a tool for communication. The University may rely upon this medium to communicate official information, therefore staff and students will be responsible for checking and reading messages. All NWOSU faculty, staff and students will receive and be required to use NWOSU email accounts for official email correspondence.

Electronic mail and telecommunications are not to be utilized by employees and students to share confidential information about students or employees. Employees have no expectation of privacy with regards to the use of electronic mail.

Network administrators may review files and communications to maintain system integrity. Users should not assume that files stored on university servers will be private. (Electronic Communications Privacy Act 18 USC §2701 (1988).) Users have no expectation of privacy with regard to any computer equipment belonging to NWOSU nor to the use of the NWOSU network with private computer equipment. The University cooperates fully with all duly constituted law enforcement agencies in cases of violation of applicable law. Use of University Network facilities, services and

information technologies does not alter basic codes of behavior of academic life.

Behaviors which are not permitted on university networks include, but are not limited to:

- Violation of local, State of Oklahoma or federal laws, RUSO or University policy
- Sharing confidential information on students or employees
- Sending or displaying offensive messages or pictures
- Assisting a campaign for election of any person to any office or for the promotion of or opposition to any ballot proposition
- Using obscene language
- Harassing, threatening, insulting or attacking others
- Engaging in practices that threaten the integrity of the University computer facilities, services and information technologies (e.g., loading files that may introduce a virus or installing software not previously approved). Downloading and installation of software is only allowed with prior approval from Information Technology. This also applies to the installation of hardware.
- Violating copyright laws. For example, copying or downloading movies, music or other copyrighted materials is against the law. Peer-to-peer sharing of the copyrighted materials is also against the law.
- Using others' accounts
- Trespassing in others' folders, documents, or files
- Intentionally wasting limited resources
- Employing the network for personal enterprises or business purposes
- Violating the ONENET Acceptable Use Policy located at:
(<http://lib.ok.us/clientservices/category1/sub3/acceptableuse.htm>)

The Network Supervisor will report inappropriate behaviors to the University administration who will take appropriate disciplinary action. Any other reports of inappropriate behavior, violations, or complaints will be routed to the employee's supervisor for appropriate action. Violations may result in a loss of access and/or disciplinary action, including termination.

Each employee will be given copies of this policy and procedures and will sign an acceptable use agreement before establishing an account.

6.5 LOST AND FOUND

The Campus Police Office shall serve as the location for the storage and disposition of personal items found on the Alva campus. Questions regarding lost and found articles on the Enid campus can be directed to the Campus Police Office there. For assistance with lost items at the Woodward campus, contact the Woodward Campus Dean.

6.6 EMERGENCIES

Emergency numbers are as follows:

Alva Campus...Fire, Police, Ambulance Dept.: 9-911 or 9-327-2121

Enid Campus...Fire, Police Dept.: 9-911 or 9-242-7000

...Ambulance Dept.: 9-911 or 9-242-1234

Woodward Campus...Fire, Police Dept.: 9-911 or 9-256-2280

...Ambulance Dept.: 9-911 or 9-254-3341

7. CONDUCT

7.1 DRESS AND GROOMING

Each member of the university community shares a responsibility in maintaining a professional image by dressing and grooming appropriately for his or her respective job assignment.

7.2 TOBACCO FREE CAMPUS

Northwestern Oklahoma State University policy prohibits the use, sale, distribution, or advertising of tobacco products on the university campus. Also, no university publication can accept any form of advertising of tobacco products. The policy prohibits the use of ALL tobacco products, including smokeless tobacco, chewing tobacco, cigarettes, e-cigarettes, vapor cigarettes, cigars, pipes and snuff. NWOSU will follow standard employee disciplinary procedures for compliance problems to include verbal correction, written warning, investigatory leave and final written warning.

7.3 SALES SOLICITATIONS

Solicitations, disseminations of literature, picketing, or drawing of assemblages, or meeting for the purpose of promoting sales may not be done by an official group, organization, or person without clearance and permission of the Dean of Student Affairs and Enrollment Management. In some cases, a facility fee will be charged.

Only a University Vice President or the President may approve solicitation for funds. The person soliciting such funds should be a regular member of the university faculty or staff.

7.4 POLITICAL ACTIVITIES

Before engaging in political activities, employees should be aware that Oklahoma State Law, the Rules of the state Ethics Commission, and RUSO policy limit political activities of university employees. For example, an employee of Northwestern Oklahoma State University, or any of the other five regional universities, who participates in political activities must do so in a manner that:

1. does not imply, directly or indirectly, that the Regional University System of Oklahoma Board or any of its universities endorse such activities;
2. in no way interferes with the rights and privileges of other employees of the Board or any university;

3. in no way interferes with the rights and privileges of students attending any university;
4. in no way interrupts the normal routine operation of any university;
5. in no way interferes with the assigned duties of the employee;
6. does not utilize university equipment, supplies, paid staff time, or other university resources in support of partisan political activities.

7.5 OUTSIDE EMPLOYMENT

A person who accepts full-time employment at Northwestern Oklahoma State University assumes a primary professional obligation to the University. Any other employment or enterprise in which an employee engages for income must be understood to be secondary to his or her university work.

Outside employment that constitutes a conflict of interest is prohibited. Employees may not receive any income or material gain from sources outside the University for services rendered while performing their jobs for the University. Employees may not use university or state supplies or resources in the course of any outside employment.

If the University determines that an employee's outside work interferes with performance, or presents a conflict of interest, the employee may be required to terminate the outside employment or enterprise, or be subject to discipline up to and including termination.

It is in the employee's best interest to initiate a conversation with his or her supervisor before entering into any outside employment or enterprise, as to any conflict of interest that may result from the endeavor(s).

7.6 MEDIA RELATIONS

Each staff member plays a part in shaping the University's public image. The actions and comments of each employee reflect on the University. Each employee must be conscious of this fact. There are times when an employee may be asked by members of the general public or media to comment on aspects of the University's operation. If you are unsure about commenting, you may politely decline to answer or refer the question to your supervisor. The Associate Vice President for University Relations is the official media spokesperson for the University. Employees should also be aware that student records are confidential.

Employees choosing to make statements to the media should do so in a manner which does not imply, directly or indirectly, that the employee is speaking for the Regional University System of Oklahoma, the Board of Regents, or the University.

7.7 LOYALTY OATH

Before being placed on the state payroll, each new university employee must sign a Loyalty Oath. See Section 1.4, Conditions of Employment.)

7.8 SEXUAL HARASSMENT

The Regional University System of Oklahoma affirms its commitment to an environment for all employees and students which is safe, fair, humane, and respectful and which supports and rewards employee and student performance on the basis of relevant considerations such as ability and effort. Behaviors which inappropriately assert sexuality as relevant to employee or student performance are damaging to this environment. Sexual harassment by any member of the university community is a violation of both law and the Board policy, and will not be tolerated. Employees must refrain from any harassment or discriminatory treatment of students and other employees. Sexual harassment will be dealt with promptly and confidentially by the university administration.

7.8.1 Definition of Sexual Harassment

Sexual harassment shall be defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature in the following context:

- a. when submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or academic standing;
- b. when submission to or rejection of such conduct by an individual is used as the basis for employment or academic decisions affecting such individual; or
- c. when such conduct has the purpose or effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive working or academic environment.

7.8.2 Examples of Prohibited Conduct

Conduct, whether in person in writing, by telephone, through social media, by electronic means, or otherwise, prohibited by this policy includes, but is not limited to:

- a. unwelcome sexual flirtation; advances or propositions for sexual activity;
- b. continued or repeated verbal abuse of a sexual nature, such as suggestive comments and sexually explicit jokes;
- c. sexually degrading language to describe an individual;
- d. remarks of a sexual nature to describe a person's body or clothing;
- e. display of sexually demeaning objects and pictures;
- f. offensive physical contact, such as unwelcome touching, pinching, brushing the body;
- g. coerced sexual intercourse;
- h. sexual assault; or
- i. actions indicating that benefits will be gained or lost based on response to sexual advances.

7.8.3 Sexual Violence Prohibited

Sexual violence is a particularly pernicious form of sexual harassment. Sexual violence on campus, at university-related events, and against students or employees constitutes an emergency that will be reported to proper law enforcement authorities. The University may immediately suspend any employee or student reasonably believed to have committed sexual violence against a person in violation of this policy, with notice and hearing to follow promptly. Each university shall establish

procedures for timely reporting, investigation, and resolution of sexual violence incidents.

7.8.4 Definition of Sexual Violence

Sexual violence includes, but is not limited to, rape as defined by 21 Okla. Stat. § 1111; rape by instrumentation as defined by 21 Okla. Stat. § 1111.1; forcible sodomy as defined by 21 Okla. Stat. § 888, assault as defined by 21 Okla. Stat. § 641 when committed in a sexual context, in furtherance of sexual demands, or because of a person's sexual orientation; battery as defined by 21 Okla. Stat. § 642 when committed in a sexual context, in furtherance of sexual demands, or because of a person's sex or sexual orientation; aggravated assault and battery as defined by 21 Okla. Stat. § 646 when committed in a sexual context, in furtherance of sexual demands or because of a person's sex or sexual orientation; stalking as described by 21 Okla. Stat. § 1173 when committed in a sexual context, in furtherance of sexual demands, or because of a person's sex or sexual orientation; sexual battery as defined by 21 Okla. Stat. § 1123(B); any sexual act involving a child as described in 21 Okla. Stat. § 1123(A); maliciously intimidating or harassing or attempting to maliciously intimidate or harass another person because of that person's sex or sexual orientation; or inciting others or attempting to incite others to maliciously intimidate or harass another person because of that person's sex or sexual orientation.

7.8.5 Retaliation

Any attempt to penalize or retaliate against a person for filing a complaint or participating in the investigation of a complaint of prohibited sexual conduct with a student or employee, sexual violence, or other sexual harassment will be treated as a separate and distinct violation of the board policy.

7.8.6 Sanctions

Appropriate disciplinary action may include a range of actions up to and including dismissal and/or expulsion.

7.8.7 Complaint Process

This policy is in addition to the current Board and university policies concerning discrimination and applies to all students, faculty, staff, guests and visitors. Complaints alleging prohibited sexual conduct with a student or employee, sexual violence or other violation of the sexual harassment policy will be reviewed and investigated by the appropriate university office.

Complaints may be resolved informally or may proceed through the applicable formal complaint proceedings. Complaints may be filed in the following manner:

- a. Complaints against students or student organizations shall be filed with the designated official for review and investigation. The designated official may assist in the informal resolution of the complaint or in processing a complaint through the applicable campus procedures;
- b. Complaints against faculty or staff shall be filed with the designated official. The designated official may assist in the informal resolution of the complaint or in processing a formal complaint through the applicable campus procedures for faculty and staff;

- c. Complaints against visitors, guests, vendors, contractors, or any other person should be directed to the university human relations department; or
- d. Any other appropriate process as established by university policy.

7.9 WORKPLACE VIOLENCE

Serious threats or acts of intimidation (i.e., statements or physical acts which put a reasonable person in fear of harm to himself, herself, or another), and acts of violence, with or without the presence of a weapon, will not be tolerated at NWOSU. Violations of this policy may result in disciplinary action, including possible suspension, termination, and/or the filing of criminal charges.

All employees, regardless of position with the University, shall immediately report acts of violence and any serious threats made against them or witnessed by them which a reasonable person would consider potentially dangerous. Such reports may be made to the employee's immediate supervisor, Campus Police, or the Human Resources Office. Employees should also feel free to report concerns about unusual or threatening behavior, even though such behavior is not perceived as a direct threat or an immediately dangerous situation when the employee in good faith is fearful for their continued safety or the safety of others.

Reportable situations include those of co-workers, students, and visitors to the campus—such as spouses, job applicants, etc.

7.10 EMPLOYEE ASSISTANCE PROGRAM

Northwestern Oklahoma State University provides assistance for employees in dealing with personal problems such as substance abuse, including alcohol and drug abuse. For more detailed information, contact the Office for Student Affairs and Enrollment Management.

7.11 DRUG-FREE STATEMENT

Northwestern Oklahoma State University policy strictly prohibits the unlawful possession, use, or distribution of illicit drugs and alcohol by students and employees on Northwestern Oklahoma State University property, or as a part of any university-sponsored activity. All students and employees must abide by this policy as a condition of enrollment or employment. Continuance of employment or enrollment following receipt of this policy constitutes acceptance of this policy by the employee or student. Sanctions up to and including termination will be imposed for violation of the official Drug-Free Schools Policy.

7.12 VISITORS AND CHILDREN IN THE WORKPLACE

The practice of family members or friends spending excessive time with an employee during the employee's work period is discouraged. Their presence in the workplace is disruptive to the work environment and negatively impacts the productivity of the organization. Employees should not bring their children to the worksite as a substitute for childcare.

7.13 SEXUAL RELATIONSHIP

RUSO affirms its commitment to the fair exercise of academic and employment power and adequate protection of individuals with limited power. University employees, including administrators; faculty; coaches; extracurricular, extramural and intramural activities supervisors; graduate assistants; and staff should demonstrate respect for students as individuals and adhere to their proper roles as academic guides, counselors, and facilitators. Employees must refrain from any exploitation of students and other employees. Such use of power to create sexual relationships will be dealt with promptly and confidentially by the university administration.

Sexual Conduct with Students Prohibited

No employee shall engage knowingly or attempt knowingly to engage in consensual or nonconsensual sexual conduct with any student whom the employee supervises, acts as academic advisor for, or over whom the employee has any power to determine the student's grade; honors; discipline; research opportunity; scholarship opportunity; acceptance in a graduate or other program of study; participation in arts, athletic, academic, or extracurricular competition; work-study assignment; or similar education-related matter. University employees' sexual liaisons with students in such situations exploit position, abuse power, and fundamentally harm the academic relationship. Voluntary intoxication with drugs, alcohol, or other substances shall not negate knowledge.

Sexual Conduct with Supervisee Prohibited

Supervisors' sexual liaisons with their supervisees may exploit position, abuse power, and fundamentally harm the working environment. No supervisor may engage knowingly or attempt knowingly to engage in consensual or nonconsensual sexual conduct with any employee, not his or her spouse, whom he or she supervises, directly or indirectly. Voluntary intoxication with drugs, alcohol or other substances shall not negate knowledge.

Definition of Sexual Conduct

Sexual conduct includes, but is not limited to, any sex act, erotic touching, romantic flirtation, conversation of a carnal nature, advance or proposition for sensual activity, erotically explicit joke, remark of a carnal nature describing a person's body or clothing, display of an erotic object or picture, and physical contact reasonably believed to be of a sensual or flirtatious manner.

Sexual conduct does not include reasonable use or delivery of bona fide lecture and/or instructional acts, statements, or materials.

Sanctions

Sexual conduct with students or employees in violation of this policy will not be tolerated. Appropriate disciplinary action may include a range of actions up to and including dismissal and/or expulsion.

Exceptions

Exceptions to sexual conduct prohibitions consistent with state and federal law may be granted in appropriate circumstances by the university president. The Board shall be notified of any such exceptions in a written, confidential personnel record at least one week prior to the next Board meeting. Exceptions involving presidents may be granted by the Board only.

7.14 SOCIAL MEDIA

Northwestern Oklahoma State University has developed the following policy to recognize the rights of students and employees to use social media and to help clarify how best to enhance and protect personal, professional, and institutional reputations when participating in social media. For this purpose, social media is defined as media designed to be disseminated through online social interaction and created using highly accessible and scalable publishing techniques. Examples include but are not limited to LinkedIn, Twitter, Facebook and YouTube.

Both in professional and institutional roles, employees and students need to follow the same legal and behavioral standards online as they would in real life. All laws, professional expectations, policies and procedures for interacting with students, parents, alumni, donors, media, and other University constituents apply to use of social media. Employees and students are responsible for anything they post to social media sites when representing themselves in a Northwestern official capacity.

This policy is subject to change as needed.

General Policies for Use of Social Media

Use of University Electronic Communications Network

Information stored on any University-owned communications equipment is considered by law to be property of Northwestern Oklahoma State University and the State of Oklahoma. Electronic data, including that involving social media sites, are subject to University storage and monitoring, and may be used in University or external investigations.

Posting to University Social Media Pages

The University welcomes interaction from users of social media on its social media pages, but does reserve the right to remove any and all content from sites at its discretion. Content posted by users does not reflect the positions or opinions of the University.

Student Information

Student information is protected by the Family Educational Rights and Privacy Act (FERPA). Generally, any student record maintained by the University and personally identifiable to a student is considered an education record under FERPA and is considered private. Any information obtained from a student's education record by an employee, student or volunteer in the course of performing University duties shall not be posted on a social media site. Violators of this policy are subject to University disciplinary action.

Employee Information

Any information about a University employee obtained through employee record and performance evaluations should be considered confidential. Posting of such information on a social media site is prohibited and will be considered a violation of University policy. Violators of this policy are subject to University disciplinary action.

Health Information

Health information of any employee or student is considered private and protected by state and federal law. Violators of this policy are subject to University disciplinary action. Employees and students of the Division of Nursing may be subject to additional privacy policies as set forth by that academic unit.

University Proprietary Property

Use of the University's logos, trademarks or trade names on social media sites is strictly prohibited unless prior written permission from the Office of University Relations has been received.

Personal Individual Use

Individual users, when speaking in their personal capacity and not on behalf of the University, should consider using personal (not University) email addresses when setting up accounts via social media. However, Facebook only allows .edu email addresses into University Networks, so using your University email is acceptable for Facebook if you wish to become a member of the Northwestern Oklahoma State University Network. Individual users of social media are personally responsible for the information they communicate and are reminded that anything posted can reflect upon the University, even if a disclaimer is included. Posts may result in liability for individual users.

Freedom of Expression

The University recognizes that freedom of expression is vital to the University's educational mission and intellectual exchanges are encouraged. Such an environment must foster acceptance, respect, openness and understanding. Student or employee posts on social media sites can result in disciplinary action when these posts are conducted on University time, on University-owned equipment, on a University web page, and/or would violate applicable federal and state laws or University policies.

Departmental and Student Organization Sites

Academic and administrative departments, and officially-recognized student clubs and organizations, may have their own social media sites upon written approval from the Office of University Relations. However, it is recommended that these entities choose to leverage the main Northwestern pages first, rather than create additional sites. Creating multiple departmental social media sites dilutes the University's existing social media brand. Additional sites should be minimized and should only be created where a valid need exists.

- All departmental and student club sites must have a full-time appointed employee who is identified as being responsible for content (not a student). Ideally, the head of the department should review this content.
- Have a plan. Users should consider their messages, audiences and goals, as well as a strategy for keeping information on social media sites up-to-date.
- Whenever possible, link back to the Northwestern website or main social media pages. Ideally, posts should be brief, redirecting a visitor to content that resides within the Northwestern web environment.
- Protect the institutional voice. Posts on social media sites should protect Northwestern's institutional voice by remaining professional in tone and in good taste. No individual

Northwestern department should construe its social media site as representing the University as a whole. Consider this when naming pages or accounts, selecting a profile picture or icon, and selecting content to post—names, profile images, and posts should all be clearly linked to the particular department or unit rather than to the institution as a whole.

- When possible, departmental and student organization sites should be created using University email accounts established for their areas not personal accounts or logins. Ex. recruit@nwosu.edu was used for <http://twitter.com/NWOSUAdmissions>. Contact Computer Services to request an email account if one has not been established. The University email address and password used to create this type of site will be provided to University Relations. For Facebook, a member of the University Relations staff will be given administrative access to all University-related Facebook Pages rather than email addresses/passwords.
- Mention and spell out Northwestern Oklahoma State University in its entirety in social media posts when possible to clearly identify the institution. Use of Northwestern or NWOSU is acceptable.
- The Office of University Relations would like to post information of interest to the campus community, as well as to future students and alumni on the main Northwestern social media sites. Information to be posted should be emailed to publicrelations@nwosu.edu. Information can be scheduled to post far in advance and departments are encouraged to provide calendar items as soon as they are solidified.
- Obey the Terms of Service of any social media platform employed.
- Departmental or student organization sites that fail to abide by the University's Social Media Policy may be removed or disabled.

Best Practices

This section applies to those providing official college postings on behalf of a University unit, though the guidelines may be helpful for anyone posting on social media in any capacity.

- Think twice before posting. Privacy does not exist in the world of social media. Consider what could happen if a post becomes widely known and how that may reflect both on the poster and the college. Search engines can turn up posts years after they are created, and comments can be forwarded or copied. If you wouldn't say it at a conference or to a member of the media, consider whether you should post it online. If you are unsure about posting something or responding to a comment, ask your supervisor for input or contact the Office of University Relations at ext. 8478.
- Strive for accuracy. Get the facts straight before posting them on social media. Review content for grammatical and spelling errors. This is especially important if posting on behalf of the University in any capacity.
- Be respectful. Understand that content contributed to a social media site could encourage comments or discussion of opposing ideas. Responses should be considered carefully in light of how they would reflect on the poster and/or the University and its institutional voice.
- Remember your audience. Be aware that a presence in the social media world is or easily can be made available to the public at large. This includes prospective students, current students, current employers, and colleagues. Consider this before publishing to ensure the post will not alienate, harm, or provoke any of these groups.
- On personal sites, identify your views as your own. If you identify yourself as a Northwestern

faculty or staff member online, it should be clear that the views expressed are not necessarily those of the institution.

Social Media at Northwestern

Northwestern participates on many major social media sites. We can be found on:

- Facebook
- Twitter
- YouTube
- LinkedIn

7.14 FIREARMS/WEAPONS

In accordance with state law, no one other than a commissioned law enforcement officer or individual, granted permission by the President to carry a weapon in circumstances that warrant doing so, may carry firearms on campus. In addition to firearms, the possession of fireworks, explosives, or weapons including, but not limited to, bows, knives (excluding small pocketknives), or paint-ball guns are prohibited on university property. Contact the campus police department for any questions related to this policy.

8. DISCIPLINARY ACTION

Northwestern Oklahoma State University is an “at-will” employer. (See Section 1)

8.1 VERBAL WARNING

A verbal warning involves a discussion between a supervisor and an employee about a minor work performance problem. The objective is to correct the problem by communicating how actual performance falls short of desired performance. The supervisor should record and maintain in the employee’s departmental file the date of the discussion and a brief summary of the items discussed, to record that the warning was in fact given. If the problem persists, a written warning is appropriate.

8.2 WRITTEN WARNING

Should the verbal warning fail to effect improvement in employee performance, or an employee’s supervisor determines that a verbal warning is inappropriate given the circumstances, the supervisor should complete and ask the employee to sign a Counseling Report, and forward copies of the same to the appropriate administrator and to the Administrative Vice President. If the offense is more than minor, a written warning may be an appropriate first step. The report should contain a description of the problem, an explanation of the deficiency, a solution to correct the problem, a definition of the time frame within which correction is expected, and an evaluation of the employee’s response. If the employee refuses to sign the report, this fact should be noted on the report along with the signature of a witness to attest that the employee refused to sign.

8.3 SUSPENSION

The Administrative Vice President may recommend suspension with or without pay for serious incidents. In this case, the employee may be suspended pending investigation and the decision as to the extent of disciplinary action or termination.

8.4 DISCIPLINARY VIOLATIONS

8.4.1 Definition

Department supervisors may need to take disciplinary action, including termination, because of assault or battery of a person on university property or at a university-sponsored event, excessive written warnings, theft of university property, unexcused absence of three or more days, falsifying employment application or time or leave records, immoral conduct or indecency on university property or at a university-sponsored event, use of intoxicants during working hours or on campus at any time, insubordination, unauthorized use or duplication of a university key, reporting to work under the influence of alcohol or drugs, excessive absenteeism or tardiness, violating a safety rule or practice, inattention to duty, inefficiency, absence without leave, violation of law or university regulations, disloyalty, lack of integrity, or other conduct inappropriate for an employee of the University.

8.4.2 Termination Procedure

When recommending discharge, a supervisor should request such action in writing, and seek formal approval by the President. Documentation should include the employee's name, date of the incident, explanation of the circumstances resulting in the recommendation for discharge, and reference to any previous disciplinary steps taken.

If discharge is approved, the supervisor should follow these steps:

The supervisor will immediately notify the Human Resources Office so that a date can be established for removal of the employee from the payroll and an exit interview scheduled. The salary of an employee discharged before the last work day of the month will be pro-rated on the basis of hours worked during the month of termination. The supervisor will prepare an Employee Transaction Form for Full-time Employees Only to be routed through assigned channels for signatures. The official date of termination should be the last day actually worked by the exiting employee.

For removing part-time employees from the payroll, the Supervisor should send a memo to the Human Resources Director and the Payroll Accountant, in a prompt and timely manner.

The University reserves the right to retrieve any overpayment made to an employee for any reason.

The discharged employee will be required to return university keys and equipment, as well as clear all university debts prior to the last day of work. A completed Faculty and Staff Checkout Clearance Form must be on file in the Human Resources Office before the final pay will be issued.

9. TRAVEL

The Northwestern Oklahoma State University travel policy covers state officials and employees who are performing substantial and necessary services for the State of Oklahoma. No travel expenses shall be incurred or reimbursed except for official business, which has been approved in advance through the University's Agile Fleet Commander online travel management system and through the official administrative signature route.

9.1 PROCEDURE

The employee should request a vehicle online through the Agile Fleet Commander system, listing destination, dates and times of travel, purpose for the trip, and items requested for reimbursement. Once the Maintenance Office has assigned a vehicle number, they will send an e-mail confirmation to the employee. This pending confirmation must be printed and submitted along with an itinerary and/or agenda to the appropriate dean or supervisor, who will be responsible for listing budget limits, if required, and for verifying the essential nature of the trip. The travel clerk will use the travel request along with the itinerary and/or agenda to prepare the Office of Management and Enterprise Services Claim Form 18 for the employee to be notified of any daily per diem. As soon as the trip is approved by administration, Maintenance staff will assign a university vehicle (if requested and available). An employee scheduled to enter travel status before the Maintenance Shed is open, after hours, on weekends, or on holidays, must check out a Maintenance Shed key at the Business Office, during regular work hours.

During the trip, the employee should ask for receipts for lodging, meals (required for each meal \$25 or more), parking, toll road, workshop registration fees, etc. Lodging receipts must be itemized, showing name, single room rate, number of people in the room, arrival/departure dates, and listing a zero balance. Registration receipts should show the actual amount paid and the number of meals included in the fee. Continental breakfasts and snacks are not considered meals.

Upon completion of the trip, the employee should complete and submit to the Human Resources Office an Application for Travel Reimbursement, accompanied by required receipts and a copy of the workshop agenda, listing the designated meeting place for the workshop. All required receipts must be firmly attached to this form and cannot be returned to the employee. It is the employee's responsibility to keep photocopies of all documents for personal reference. From the submitted information, Human Resources Office personnel prepare Office of Management and Enterprise Services Claim Form 19 for the employee to sign before electronic submission to the State. The resulting warrant is printed and sent to the employee by the Human Resources Office, usually within seven workdays.

Non-exempt employees who are asked to travel for the University should contact Human Resources for assistance in completing the Weekly Work Schedule (for regular full-time employees) or the Part-time Time Sheet (for all other non-exempt part-time employees) and reporting hours worked.

9.2 SPECIAL PROCEDURE

When the mode of travel must be flight, the airline ticket arrangements must be made through the University. The employee can check information for the best price and times, but the final arrangements must be approved by Human Resources Office staff. No flight can be booked until a fully approved Travel Request form is on file in Human Resources with the Travel Clerk. The least expensive ticket must be purchased. However, the employee can pay the difference in amounts if another flight is more convenient or preferred.

9.3 LIMITATIONS

Reimbursement for university travel is based on actual expenses incurred, subject to limitations set forth by legislation and university travel policy. Reimbursements may not be claimed for personal services, such as haircuts, shoe shines, etc. Reimbursements for medications, tobacco products, alcoholic beverages, personal clothing, souvenirs, entertainment, repairs to privately owned vehicles, or other similar purchases are also excluded.

9.4 UNIVERSITY FLEET VEHICLES

Oklahoma statutes prohibit the use of state-owned vehicles for private purposes. University policy states that unauthorized passengers shall not be transported in state vehicles or private vehicles used for state business. Only authorized university employees are allowed to drive state-owned vehicles.

According to Oklahoma statute, the use of state-owned vehicles to ride to and from an employee's place of residence, except in the performance of official duty, is expressly prohibited. In compliance with Oklahoma statute, smoking is prohibited in university-owned vehicles. Persons using university vehicles are expected to use seat belts and to comply with all Oklahoma Department of Highway Safety regulations. (For instructions specific to the Enid or Woodward Campus, contact the Business Office or Dean's Office there.)

9.4.1 Fuel Purchases

Each university vehicle must be returned to the Maintenance Shed or Fleet Building with the gas tank full. University vehicle drivers should utilize the University's official supplier for fuel (currently: COMDATA). This is a Master Card and can be used anywhere Master Card is accepted. Each university vehicle's key ring is attached to a current fuel purchase credit card.

9.4.2 Pike Pass

All University vehicles are equipped with Pike Passes for use to pay tolls in Kansas, Oklahoma, and Texas. When traveling with the possibility of tolls please designate on your travel request that the Pike Pass will be used and ensure that a copy of the travel request signed only by the traveler is given to the Travel Clerk. The travel request MUST still be sent to a supervisor for additional signatures. Cost for toll expenses will not be charged to departmental budgets.

9.4.3 Alva Campus Check-out and Check-in Procedures

When leaving before or after office hours, a Maintenance Shed key must be obtained from the Business Office in Herod Hall during office hours. Maintenance Office staff are not responsible for giving employees access to the Maintenance garage to pick up their scheduled vehicles when the proper procedures for obtaining a key from the Business Office have not been followed. Drivers are advised to allow themselves adequate time in case they need to move vehicles blocking access to their designated vehicle.

For All Vehicles:

During Office Hours:

When picking up a university vehicle, an employee must come into the Maintenance Office to be dispatched. He or she will be given the vehicle's keys, a clipboard with fuel cards and a dispatch form to be filled out when returning the vehicle. When returning a university vehicle, the employee must bring the keys, fuel cards, completed dispatch form, and all fuel receipts into the Maintenance Office so the vehicle can be checked back in.

After Office Hours:

The University Vehicle will already be dispatched to the employee. The keys, along with the dispatch form, will be placed outside the maintenance office door.

Anyone returning after hours must hang the keys on the peg board by the Maintenance Office door and place the clipboard with completed dispatch form, fuel cards, and fuel receipts in the wood boxes beneath the peg board.

When returning a university **car** after hours, employees should use the garage key on the key ring to gain access to the Maintenance garage, drive the vehicle into the garage, and park on the left side.

When returning a university **van** after hours, employees should park the van back in the fleet building in the northwest corner of the sports arena parking lot and use the maintenance garage key to gain access to the Maintenance garage and hang the keys on the peg board by the Maintenance office door and place the clipboard with the dispatch form, fuel cards, and fuel receipts in the wooden box ~~hanging on the wall~~ beneath the peg board.

In an instance when a university vehicle cannot be parked inside the Maintenance garage, it should be parked in the Maintenance parking lot with the keys removed and the vehicle locked. The keys should be taken into the Maintenance garage and placed on the peg board located on the west wall by the office door. This will enable the person scheduled to take the same vehicle early the next morning to have access to that particular vehicle's keys. The employee should never take the keys with them when leaving.

The dispatch form should be completed and placed along with the fuel purchase slips in the wooden

box below the peg board.

If a university vehicle has a mechanical problem and is returned after hours, the university employee returning the vehicle should place a warning sign on the vehicle's windshield to protect the safety of the next passenger. The sign reads, "DO NOT TAKE THIS VEHICLE DUE TO MECHANICAL PROBLEMS," and is kept in a black folder attached to the bulletin board on the west wall of the Maintenance garage. Leave a message on the Maintenance department's voice mail at 327-8646, describing the nature of the problem. When scheduled to drive the car with a problem, an employee should take their own vehicle and apply for mileage reimbursement on an Application for Travel Reimbursement. (See Section 9.1 Procedure). Do not take another university vehicle since it may be scheduled for use by another employee.

9.4.3 Enid Campus Check-out and Check-in Procedure:

Immediately before leaving, the employee should go to the Business Office to check out the key and the dispatch form which is now the report form to turn in with the key upon returning (replaces the Vehicle Use Report). If the employee is scheduled to leave early in the morning, the Business Office staff will print the dispatch report and either put it in the employee's mail box along with the key, or attach it to the clipboard in the university car. Note: the alarm to the building is turned off at 5:00 am, but early travelers can use their key in the door by the alarm. Doors generally are open at 7:00 am.

When returning a university vehicle to the Enid campus after hours, employees should use their codes to enter the building and should put the key, dispatch form and fuel receipts in one of the envelopes in the box on the mail room wall. The envelope must be dropped in the wall slot. If a returning employee does not have a code, or for some reason does not want to enter the building at night, they should put the key under the edge of the driver's seat and lock the vehicle. A message should be left on the voice mail of 580-213-3128 about the keys being locked inside the vehicle. Employees SHOULD NOT take the keys with them when leaving. Any needed repairs, special concerns, or cleaning needs should be reported on the dispatch form. Business Office staff will make sure these problems are resolved before the car is checked out for another trip.

10. LEAVE

An employee should submit a request for any type of leave to the supervisor as soon as the employee knows that such leave will be necessary or desired. Advance notice allows the supervisor to consider such leave requests when planning work schedules. When conditions do not permit a request in advance (illness, personal emergency, etc.) the employee is to notify the supervisor by telephone at the earliest possible time of the need to use leave. If the supervisor is not available the employee should notify the individual at the next level in the administrative channel. The supervisor is responsible for notifying the Human Resources Office of any absence from work which would affect payroll status.

10.1 ANNUAL LEAVE

Northwestern Oklahoma State University provides annual leave with pay for eligible employees. Regular full-time 12-month employees are eligible for annual leave.

10.1.1 Accrual

Northwestern Oklahoma State University does not credit any employee for accrued annual leave earned at another employer. Regular full-time 12-month employees accrue annual leave as follows:

Accumulation Rates Based on Years of Service	Hours Per Year	Maximum Accumulation
0-5 years (1-60 months)	80	240 hours
6-10 years (61-120 months)	96	360 hours
11-15 years (121-180 months)	120	360 hours
16-20 years (181-240 months)	144	480 hours
over 20 years (241-over months)	160	480 hours

Annual leave may not be earned by an employee during leave of absence without pay except for certain qualifying military leave (See Section 10.3), suspension without pay, or financial retrenchment. Annual leave will not be granted prior to being earned.

10.1.2 Scheduling

Annual leave may be used during the period(s) mutually agreeable to the employee and his or her supervisor, and at the convenience of the University.

10.1.3 Payment

If a regular full-time 12-month employee resigns or is discharged, and successfully completes the termination process (See Section 8.4.2 Termination Procedure), the Human Resources Office staff will process full payment for unused annual leave provided the employee has worked full-time at Northwestern Oklahoma State University for a continuous six-month period immediately prior to the date of separation. (See Section 2.7 Annual Leave Payment).

10.2 HOLIDAYS

Northwestern Oklahoma State University observes certain holidays to be announced by the President. (See Section 2.6 Holiday Pay).

10.3 MILITARY LEAVE

Pursuant to 72 O.S. § 48, all employees who are members, either officers or enlisted, of the Reserve

Components to include the Army and Air National Guard and the Army, Navy, Air Force, Marine Corps, the Coast Guard Reserves, or any other component of the Armed Forces of the United States, shall, when ordered by the proper authority to active or inactive duty or service, be entitled to a leave of absence for the period of such service without loss of status or seniority. During the first thirty (30) regularly scheduled work days of such leave during the federal fiscal year, the employee shall receive his full regular pay. During the remainder of such leave in any federal fiscal year, the president may elect to pay the employee an amount equal to the difference between his full regular pay and his military pay.

Prior approval must be obtained by submitting a notarized photocopy of the duty orders to the supervisor. The supervisor must sign the notarized copy and forward it to the attention of the appropriate vice president, who will sign and forward to Human Resources. A photocopy of the notarized document should be forwarded to the President's Office for filing.

Eligible employees may be entitled to certain benefits under the federal Uniformed Service Employment and Reemployment Rights Act of 1994 (USERRA), 38 U.S.C. §4301 et seq. and the applicable regulations thereto.

10.4 JURY DUTY

When in obedience to a subpoena or direction by proper authority, an employee appears as a jury member for the federal government or state of Oklahoma, he or she shall be entitled to a leave of absence for such duty with full pay from the University. The employee must submit a copy of the subpoena to his or her supervisor who shall then forward it to the President's Office (for the employee's personnel file) prior to the start of the leave. If an employee is not selected for jury duty after reporting each of the required days, he or she must return to work for the remainder of the day. After completing jury duty, the employee must provide the supervisor with evidence of having served on a jury for the time claimed. The employee is responsible for reporting to the IRS monies earned by the jury duty.

10.5 SICK LEAVE

10.5.1 Definition

Sick leave is a period of time during which an employee is unable to work because of illness, injury, or medical, surgical, dental, or optical examinations or treatment, or because the employee has been exposed to a contagious disease which would jeopardize the health of co-workers. Absence due to illness of immediate family members may be charged to sick leave. Sick leave should never be construed as "annual leave time."

Immediate family members include spouse, children and parents.

10.5.2 Rate

Regular full-time 12-month employees are eligible for sick leave with full pay based on the rate of 15 days per calendar year (10 hrs month), with maximum accumulation of 130 days (1040 hours) or until

the employee qualifies for group long-term disability insurance benefits, whichever comes first. Employees may only use sick leave accrued prior to the date of absence.

10.5.3 Procedure

Employees, or their representatives, should notify supervisors of absences due to personal illness or injury as soon as possible. Failure to give such notice may be considered as cause for dismissal. The supervisor must be notified each day the employee is unable to work.

10.5.4 Termination

Employees who terminate employment with the University shall forfeit all unused sick leave. However, any member of Oklahoma Teacher's Retirement System who has one hundred twenty (120) days of accumulated unused sick leave at retirement may add such days to days employed by the public schools of Oklahoma (including Northwestern Oklahoma State University) in obtaining an additional year of credit toward retirement. The employee should ask Teachers' Retirement for the proper form for verification of unused sick leave at the time of termination, although he or she may not be retiring directly from Northwestern. At retirement time, Teachers' Retirement combines unused sick leave balances from all participating employers.

10.6 FAMILY AND MEDICAL LEAVE ACT

10.6.1 Definition

In compliance with the Family and Medical Leave Act (FMLA), Northwestern Oklahoma State University provides up to twelve weeks of unpaid leave to eligible employees for certain family and medical reasons. Employees are eligible if they have worked for Northwestern Oklahoma State University for at least one year, and for at least 1,250 hours over the previous twelve months. Unpaid leave may be granted for any of the following reasons:

- to care for the employee's child after birth, or placement for adoption or foster care
- to care for the employee's spouse, son or daughter, or parent, who has a serious health condition
- for a serious health condition that makes the employee unable to perform the employee's job.

10.6.2 Requirements

Taking of leave may be denied if the following requirements are not successfully met:

- The employee must provide 30 days advance notice when the leave is "foreseeable"
- The University will require medical certification to support a request for leave because of a serious health condition, and may require second or third opinions (at university expense) and a fitness for duty report to return to work.
- For information regarding the need for reasonable accommodation under the Americans with

Disabilities Act, contact the Dean of Student Affairs and Enrollment Management.

10.6.3 Benefits and Protection

For the duration of FMLA leave, the University will maintain the employee's health coverage under the group health plan as it was prior to the commencement of FMLA leave. The employee is responsible for paying by check or money order all premiums that normally would be withheld from pay.

10.7 VOTING LEAVE

A reasonable amount of time off with pay will be given for the purpose of voting in national, state, and local elections, not to exceed two hours in most instances. If the employee works at such a distance from the voting place that more than two hours are required, then the employee shall be allowed a sufficient time in which to cast a ballot. The supervisor should be notified no later than the day before the election of the employee's need to have time off for voting. When necessary, the law allows supervisors to adjust employees' work schedules in order to allow sufficient time off for voting.

11. GRIEVANCE

11.1 GRIEVANCE/COMPLAINT -Regent's Statement

Employee grievance refers to the dissatisfaction of an employee with what he/she expects from the company and its management. A complaint or grievance can be a real or imagined feeling of dissatisfaction that an employee experiences in the course of their job. These complaints or grievances must then be communicated to management or the organization at large. A grievance must be filed with the President's office within **15 days** of the grievable event, or within **15 days** of the date the employee learned of the grievable event, or with **15 days** of the most recent occurrence of a continuing practice giving rise to a grievance.

11.2 COMPLAINT PROCESS-University Process for students and employees

Students and employees may file a formal complaint for any classroom or campus incident in which they believe they have been treated inappropriately by an NWOSU student or employee, or for which the university lacks written policy.

A student or employee with a complaint is first encouraged to attempt to resolve the matter informally by bringing the issue to the attention of the person whose actions brought about the complaint. If the issue is not resolved in this way, or if such an attempt is not practical, the student/employee may bring concerns to the attention of the supervisor of the person against whom a complaint is made. This will allow all parties to participate in an informal resolution.

Students and employees also have the right to seek formal resolution of the matter. All formal complaints are reviewed by the Dean of Student Affairs and Enrollment Management and, where appropriate, forwarded to the appropriate office. These offices may include the offices of the President, the Vice President for Administration, the Vice President for Academic Affairs, the Dean of Faculty, and the Human

Resources Director. If the complaint falls under the purview of the Dean of Student Affairs and Enrollment Management, the matter may be formally resolved through that office.

The formal complaint and a narrative of the resolution will be filed in the Office of Assessment and Institutional Effectiveness and will be available for review by the Higher Learning Commission. In accordance with privacy policies, the identity of individuals will be protected.

A student or employee may appeal the outcome of a formal complaint to the President, who will review previous decisions and may render a decision or make recommendations.

Complaint Reporting Form: https://cm.maxient.com/reportingform.php?NorthwesternOSU&layout_id=3

***This is NOT the procedure to use for a Grade Appeal.**

12. UNETHICAL BEHAVIOR

12.1 REPORT UNETHICAL BEHAVIOR

The Regional University System of Oklahoma (RUSO) has selected EthicsPoint to service the RUSO Tip Line, which provides an easy way for you to discreetly and confidentially report activities that you, in good faith believe, may be unethical, illegal or otherwise inappropriate behavior in violation of established policies. EthicsPoint is a reporting tool that assists the university communities in working together to prevent, detect and correct fraud, abuse, misconduct, and other violations, while helping to cultivate a positive environment. RUSO considers your concerns important and desires your cooperation in resolving such issues. The RUSO Tip Line system provides a formal mechanism for investigation, follow-up and response. You may file a report / complaint through EthicsPoint on the website provided below or by telephoning toll-free 866-898-8438.

<https://secure.ethicspoint.com/domain/media/en/gui/30756/index.html>